



Premier Wealth Advisors, LLC., Business Continuity Planning

Premier Wealth Advisors, LLC., has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions are unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us - Even in the event of a significant business disruption, you can contact us as you usually do at 212-752-4343 or 800-499-4143 (the nature of PWA's phone service is such that an alternate number is not necessary) or, you can email us at info@yourpremierwealth.com or go to our web site, www.yourpremierwealth.com.

If you cannot access us through those means, and your account is held at Charles Schwab & Co., Inc., you should contact Schwab at 800-515-2157 for instructions on how it may assist you with taking an order, cash disbursements, securities transfers and trades.

For those of you with accounts held elsewhere (e.g., Invesco, American Funds..etc.), you can contact your custodian directly at the number provided on your statements. Of course, you should always feel free to contact your PWA representative directly.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparty impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our custodians back up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our clearing firm that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments within four hours. Your orders and requests for funds and securities could be delayed during this period. PWA also backs up its hard copy and electronic records, including off-site storage.



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Varying Disruptions - Significant business disruptions can vary in their scope, such as those affecting only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within one business day.

In a disruption affecting our business district, city, or region, we expect to transfer our operations to a site outside of the affected area and intend to recover and resume business within two business days. In either situation, we plan to continue in business, transfer operations to (or use the assistance of) our clearing firm if necessary, and notify you in the manner closest to the manner of previous communication (i.e., telephone, e-mail, fax, internet) about how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assist our customers' prompt access to their funds and securities.

For more information - If you have questions about our business continuity planning, you can contact us at 212-752-4343 or email us at info@yourpremierwealth.com.